| FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 2818-227 | | | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------|--------------------------------------------------|----------------------------------------|--|--|--|--|--|
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | | | | | | | | | |
| INTERNATIONAL APPLICATION NO. PCT/IT2003/000446 | | | | INTERNATIONAL FILING DATE 18 July 2003 | PRIORITY DATE CLAIMED 29 July 2002 | | | | | |
| TITLE OF INVENTION FLUORO-ALKYL-CYCLOPEPTIDE DERIVATIVES ENDOWED WITH ANTI-INTEGRIN ACTIVITY | | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US DAL POZZO | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| | | | | | | | | | | |
| 2. | \boxtimes | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 3. | | _ | | | | | | | | |
| 4. | | The U.S. has been elected (Article 31). | | | | | | | | |
| 5. | А со | copy of the International Application as filed (35 U.S.C. 371(c)(2). | | | | | | | | |
| i | a. | ☐ is | s attached hereto (| pages specification, claims & abstract (| claims), sheets drawings). | | | | | |
| I | b. | □ h | as been communicate | ed by the International Bureau. | | | | | | |
| (| c. | is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. | | An En | glish language transla | tion of the International Application as filed (| 35 U.S.C. 371(c)(3) | | | | | |
| | a. Certi | is attached hereto (pages specification, claims & abstract (claims), sheets drawings, page tificate of Translation). | | | | | | | | |
| I | b. | □ h | as been previously su | ubmitted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. | | Amend | dments to the claims o | of the International Application under PCT Art | ticle 19 (35 U.S.C. 371(c)(3) | | | | | |
| ; | a. | □ a | re attached hereto (re | equired only if not communicated by the Inter | national Bureau). | | | | | |
| ı | b. | □ h | ave been communica | ted by the International Bureau. | | | | | | |
| . (| c. | ☐ h | ave not been made; h | nowever, the time limit for making such amen | dments has NOT expired. | | | | | |
| (| d. | have not been made and will not be made. | | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3). | | | | | | | | |
| 9. (| a. | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4). | | | | | | | | |
| | b. Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached). | | | | | | | | | |
| 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5). | | | | | | | | | | |
| | | ems 11 To 20 below concern document(s) or information included: An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. | | | | | | | | |
| | | | | | | | | | | |
| | a. | An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. A FIRST preliminary amendment. | | | | | | | | |
| _ | b. | ☐ A FIRST preliminary amendment.☐ A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | | |
| 14. | | An Application Data Sheet under 37 C.F.R. § 1.76. | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | |
| 16. | | A change of power of attorney and/or address letter. | | | | | | | | |
| 17. [| | A com | puter-readable form o | f the sequence listing in accordance with PC | T Rule 13ter.2 and 37 CFR 1.821-1.825. | | | | | |
| 18. [| | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 19. [| | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| | 20. 🗵 Other items or information. Amendment; paper & computer readable copies of Sequence Listing; copy of Notification to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures | | | | | | | | | |

| | | | | | | | | NEY'S DOCKET NUMBER 2818-227 | | | | | | |
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| 10/522,268 PCT/IT2003/000446 | | | | | | | | | | 010-221 | | | | |
| ☐ The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): | | | | | | | | | | ┢─╴ | | | | |
| 21. Basic national fee | | | | | | | | | \$ | | | | | |
| 22. Examination Fee | | | | | | | | s | | | | | | |
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| | TOTAL OF ABOVE CALCULATIONS Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or | | | | | | | | | | 41 | 0.00 | L | |
| - | compute paper or | r prog fracti | gram lis | sting fil reof. | ed in an el | ectronic mediu | ım). The fee is \$2 | 50 for 6 | each additional 50 s | heets of | | | | |
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| | AIMS | | NUI | MBER | FILED | # EXTRA | | | RATE | | Ì | | · | |
| Total Cla | | | | | inus 20 = | 0 X | \$50.00 (1615) | | \$25.00 (2615) | | \$ | | | |
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| MULTIPL | E DEPE | NDI | ENT C | LAIM | S(S) (if ap | plicable) | | | 6)/\$180.00 (2616 | | \$ | 0.00 | | |
| attachme | ent(s): Č 225.00 (; | ne N 2252 | /lonth !);Thre | Exten e Mor | sion \$120 | 0.00 (1251)/\$ | 60.00 (2251); Tv | vo Mo | date of this pape onth Extensions \$4 53); Four Month E | 50.00 | | | | |
| | • | | | • | status Se | e 37 CFR 1 | 27 | | | | \$ | 120.00 | - | |
| Processi | ng fee o | f \$13 | 0.00 (| 1618) | , for furnis | shing the En | glish Translation | later t | han | 30 | | 0.00 | | |
| months ii | rom the | eam | est cia | imea | priority da | ate (37 C.F.F | 1. 1.492(1). | - | TOTAL NATIO | NAL FEE = | \$ | 120.00 | ┝ | |
| | | | | | | | | | ent must be accon | | | | | |
| an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 (8021) per property + Fee for Petition to Revive Unintentionally Abandoned Application;\$1500.00 (1453) / \$750.00 (2453) | | | | | | | \$ \$ | 0.00 | ┞ | | | | | |
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U.S. Application No.10/522,268; Atty Docket No.2818-227 A check in the amount of \$120.00 to cover the above fees is enclosed. a. b. Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any c. overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. CREDIT CARD PAYMENT FORM ATTACHED. d. The entire content of International Application No. PCT/IT2003/000446 and any U.S. and foreign application(s) corresponding thereto, and IT RM2002A000402, referred to in this application is/are hereby incorporated by reference in this application. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status. **CORRESPONDENCE ADDRESS** Direct all correspondence to: **Customer Number:** 23117 Type Customer Number here

Telephone: (703) 816-4000

BJS:pp

NAME

September 26, 2005

REGISTRATION NUMBER Date









Date Mailed: 06/27/2005

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Vignus 22313-1450 www.usplu.gov

| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY, DOCKET NO. | | | | |
|--------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|------------------|-------------------------------|-----------------------------------------------------------------|--|--|
| 10/522,268 | Dal Pozzo | 2818-227 | | | | |
| | | INTERI | INTERNATIONAL APPLICATION NO. | | | |
| | | | PCT/IT03/00446 | | | |
| 23117 | DOCKETED | LA. FILI | NG DATE | PRIORITY DATE | | |
| NIXON & VANDERHYE, PC 901 NORTH GLEBE ROAD, 11TH FLO ARLINGTON, VA 22203 | - 0.6 177 | | 3/2003 | 07/29/2002 | | |
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NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/522,268 | PCT/IT03/00446 | 2818-227 |

FORM PCT/DO/EO/922 (371 Formalities Notice)